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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Debra D. Wawro *et al.*

Serial No.: 09/707,435

Filed: November 6, 2000

For: RESONANT WAVEGUIDE-GRATING
FILTERS AND SENSORS AND
METHODS FOR MAKING AND USING
SAME



Group Art Unit: 2817

Examiner: Unknown

Atty. Dkt. No.: UTSL:058US/M

Handwritten: PATENT, 3/2/02, RECEIVED, APR 23 2002, TECHNOLOGY CENTER 2800, *[Signature]*

CERTIFICATE OF MAILING
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date below:

April 10, 2002
Date

[Signature]
Mark T. Garrett

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R. §§ 1.97(g), (h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be

construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Reference C57, the enclosed copy of which is 2-sided, was catalogued in the Science and Engineering Library of the University of Texas at Arlington, and on a shelf in the library where it would have been available to library patrons, more than one year prior to November 5, 1999.

Reference C58 (the enclosed copy of which is unsigned but otherwise identical to the signed version) was catalogued in the Science and Engineering Library of the University of Texas at Arlington on October 13, 1999. The library staff estimated that it normally takes about 6 weeks to place catalogued dissertations on the shelf, where they would then be available for library patrons. Based on this estimation, reference C58 would have been placed on a library shelf, where it would have been available to library patrons, around December 15, 1999. A copy of a letter from a University of Texas at Arlington representative, stating this information to applicants' representative, is attached to this Supplemental Information Disclosure Statement.

The present Supplemental Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Fulbright & Jaworski Deposit Account No.: 50-1212/10024878/MTG.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Mark T. Garrett".

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